

Receipt date: 11/20/2007

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/938,874
Filing Date	8/27/2001
First Named Inventor	Jeff S. Eder
Art Unit	3692
Examiner Name	Frantzy Poinvil
Attorney Docket Number	AR - 21

Sheet

of

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,680,305 - B1	10-21-1997	Agpar, Mahlon	all
		US- 5,668,591 - B1	03-29-1995	Shintani, Peter	all
		US- 5,794,219 - B1	08-11-1998	Brown, Stephen	all
		US- 5,774,873 - B1	03-29-1996	Berent, Thomas	all
		US- 5,812,987 - B1	9-22-1998	Luskin et al.	all
		US- 5,638,492 - B1	6-10-1997	Maeda et al.	all
		US- 5,761,442 - B1	6-02-1998	Barr et al.	all
		US- 5,887,120 - B1	03-23-1999	Wical, Kelly	all
		US- 6,909,708 - B1	06-21-2005	Krishnaswamy et al.	all
		US- 6,453,297 - B1	09-17-2002	Burks et al.	all
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 98 38 588	02-28-1997	Mayon and White		

Examiner
Signature

/Frantzy Poinvil/

Date
Considered

08/25/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /F.P./

Receipt date: 11/20/2007

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	09/938,874		
		Filing Date	08/27/2001		
		First Named Inventor	Jeff S. Eder		
		Art Unit	3692		
		Examiner Name	Frantzy Poinvil		
Sheet		of		Attorney Docket Number	AR - 21

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BALLOW, JOHN; BURGMAN, ROLAND;BURGOZ, SCOTT; "Enhanced Business Reporting" ; October 2004, pages 1 - 30, Asset Economics, U.S.A.	
		CHAROENROOK, ANCHANDA; "Does Sentiment Matter?"; December 2003, pages 1 - 44, Financial Management Association International, U.S.A.	
		YANG, BO et al., BP Neural Network Optimization based on an improved genetic algorithm, November 4, 2002, pages 64 - 68, Proceedings for the First International Conference on Machine Learning and Cybernetics Beijing, China, IEEE, U.S.A.	
		PHYSORG.COM, "How much information is too much information?"; February 15, 2005, pages 1 - 2;, PHYSORG.COM, University of Queensland, Australia	
		CHICAGO BOARD OF TRADE, "SPAN Margin System", August 27, 1991, pages 1 - 72, Chicago Board of Trade, U.S.A.	
		THE APPRAISAL FOUNDATION, Uniform Standards of Professional Appraisal Practice 97, 1997. pages 55 - 61, The Appraisal Foundation, U.S.A.	
		FARQUHAR, PETER & HAN, JULIE, "Recognizing and measuring your brand assets", July 1991, pages 1 - 29, Report 91-199, Marketing Science Institute, U.S.A.	
		FOWLER, MARTIN; Analysis Patterns: Reusable Object Models, 1997, pages 1 - 342, Addison Wesley, U.S.A.	
		QUINN, JAMES BRIAN, Intelligent Enterprise, 1992, pages 3 - 458, The Free Press, U.S.A.	
		EVEREST, GORDON, Database Management Systems,1986, pages1 - 816, McGraw Hill, U.S.A.	

Examiner Signature	/Frantzy Poinvil/	Date Considered	08/25/2008
--------------------	-------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /F.P./